

Capacitance Reconstruction from Measured $C-V$ in High Leakage, Nitride/Oxide MOS

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Abstract—A reconstruction technique of the gate capacitance from anomalous capacitance-voltage ($C-V$) curves in high leakage dielectric MOSFETs is presented. An RC network is used to accommodate the distributed nature of MOSFETs and an optimization technique is applied to extract the intrinsic gate capacitance. Applicability of the method is demonstrated for ultra-thin nitride/oxide (N/O ~ 1.4 nm/0.7 nm) composite dielectric MOSFETs.

Index Terms—Composite nitride/oxide gate dielectrics, $C-V$ reconstruction, MOSFETs, tunneling.

I. INTRODUCTION

ACCORDING to the latest CMOS technology roadmap (November 1999) by the Semiconductor Industry Association (SIA), CMOS with the gate lengths of 50 to 70 nm will need an oxide thickness of around 1.5 to 2.0 nm as early as 2001 [1]. With such thin oxide layers, direct tunneling results in an exponential increase in gate leakage current such that the series resistance in MOS capacitors becomes significant owing to the low impedance of the capacitor [2]. As a result, experimental capacitance-voltage ($C-V$) curves for ultrathin gate MOS devices show capacitance attenuation, both in the inversion and accumulation regions [3] and determination of an effective oxide thickness from the measured $C-V$ curves has become problematic. Although recent studies have reported that the degradation of gate capacitance in MOSFETs can be suppressed by utilizing short channel lengths [4], this requires redesign of test patterns and more importantly, it may be difficult to extract pure, intrinsic gate capacitances due to the various parasitic effects in short channel devices. Since $C-V$ measurement is a fundamental technique for MOSFET characterization and $C-V$ curves are crucial in providing device information, a reconstruction technique of gate capacitance from measured $C-V$ curves is needed for ultrathin gate dielectric MOSFETs.

This paper presents an extraction technique, using distorted $C-V$ curves for high leakage gate dielectric MOSFETs, based on a distributed RC network approach. Modeling of gate capacitance and tunneling current along with validation of the

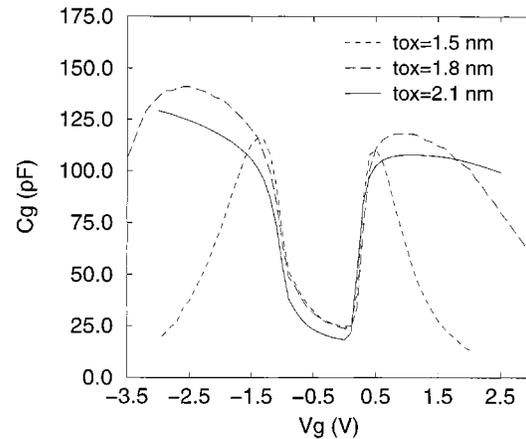


Fig. 1. Experimental $C-V$ curves for thin gate oxide ($t_{ox} = 1.5, 1.8,$ and 2.1 nm) n-MOSFETs.

distribute RC approach for ultrathin gate oxide MOSFETs, are described in Section II. The $C-V$ reconstruction technique, based on measured $C-V$ curves and its application to very thin nitride/oxide gate dielectric MOSFETs are discussed in Section III. Finally, conclusions are presented in Section IV.

II. GATE CAPACITANCE MODELING

A. Anomalous $C-V$ Behavior in Thin-Oxide MOS

Fig. 1 shows experimental $C-V$ curves for gate oxide thicknesses of 1.5, 1.8, and 2.1 nm n-MOSFETs with an area of $100 \mu\text{m} \times 100 \mu\text{m}$, which were measured by the LCR capacitance meter using the RC parallel mode at a frequency of 100 kHz. Thickness extraction of the oxides was initially conducted using ellipsometry to a 122 \AA oxide standard; high resolution TEM was then used to correct the shift between ellipsometry and TEM. In this figure, sharp decreases of gate capacitance appear for the oxide thicknesses of 1.5 and 1.8 nm, which is related to gate current and series resistance associated with the equivalent circuit in $C-V$ measurement systems.

Fig. 2(a) shows the RC parallel circuit model of the LCR capacitance meters. G_m and C_m are the measured conductance and capacitance, respectively, which are determined from a single measurement of magnitude and impedance phase. Because this circuit model neglects the series resistance (R_s) associated with the bulk and contacts, it is most suitable for low series resistance devices. By contrast, a circuit model that more closely represents the real devices, including R_s , is shown in Fig. 2(b). G_t and C_t represent the tunneling conductance and

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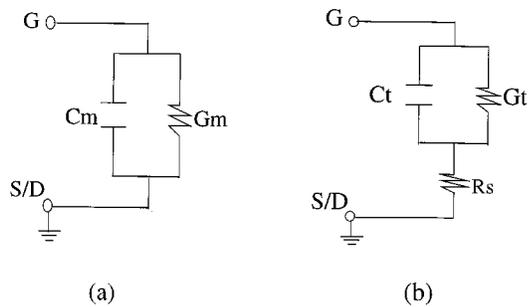


Fig. 2. Small-signal equivalent MOS capacitor models. (a) Single RC parallel circuit model of the measurement systems (LCR meters). (b) More accurate circuit model including series resistance (R_s).

the true, intrinsic capacitance, respectively. The relationship between C_m and C_t is expressed as [5]

$$C_m = \frac{C_t}{(G_t R_s + 1)^2 + \omega^2 C_t^2 R_s^2}. \quad (1)$$

Here, C_m and C_t are identical when R_s is very small, but the magnitude of C_m decreases to a value less than C_t when R_s increases.

In spite of these limitations, C - V measurements of thin oxides with large leakage currents are widely performed, often using the parallel RC circuit model in Fig. 2(a) [2]. The true capacitance (C_t) of the devices cannot be ascertained simply by the measurement of magnitude and impedance phase (i.e., G_m and C_m). Namely, when G_t becomes large, due to high leakage of thin dielectrics, R_s is amplified, leading to decreased capacitance (C_m) as the magnitude of gate bias increases [6]. As a result, determination of intrinsic gate capacitance and effective oxide thickness from C - V measurements becomes difficult in the presence of leaky, very-thin oxide MOSFETs.

B. Capacitance and Tunneling Current Models

For gate oxide thicknesses less than 3.0 nm, the oxide field reaches a maximum of 5 MV/cm such that the operation of deep-submicron MOSFETs enters a regime in which tunneling and charge confinement effects become noticeable. Classical inversion charge modeling is no longer sufficient for these device characteristics [7]. In the classical case, electron density has its maximum value at the Si-SiO₂ interface, while in the quantum mechanical case the electron density is diminished at the interface, increases to its maximum value and decreases with the distance from the silicon surface [8]. Hence, a quantum-mechanical (QM) model of the inversion charge profile peaks at around 10 Å below the silicon surface such that inversion charge is effectively reduced to that of an equivalent oxide which is a few angstroms thicker than the physical oxide. For the modeling of gate characteristics an empirical and hybrid model for the QM correction in MOS structures is proposed and implemented in a two-dimensional (2-D) device simulator, which combines both the van Dort [9] and Hansch models [10].

In order to model the carrier confinement, the density of conduction band states in the vicinity of the Si/SiO₂ interface is expressed as

$$N_C(z) = N_C \left[1 - e^{-(z+z_0)^2/\lambda_{th}^2} \right] \quad (2)$$

where N_C is the normal density of conduction band states, which is position-independent, and λ_{th} is a thermal wavelength determined by the carrier effective mass. The z -axis is perpendicular to the Si-SiO₂ interface and z_0 is introduced for the finite carrier concentration at the surface ($z = 0$).

The van Dort model employs bandgap broadening in the surface channel region to capture the dominant QM effects. The original form was proposed for the inversion region only. As a result, a singularity in the simulated C - V at the flatband voltage region was not carefully considered. While the QM effect is not a concern in this region, the discontinuous behavior of the model greatly limits its applications and causes numerical instabilities in multidimension device simulations. To eliminate the flatband singularity of the van Dort model, an alternative bandgap broadening expression is used in this work. The bandgap correction term with a 2/3 power-law dependence of the transverse surface electric field, F_S , was implemented in the van Dort model formalism as follows:

$$\Delta E_g(z) = \frac{\kappa\beta}{2k_B T} F_S^{2/3} \left[\frac{2e^{(z/L)^2}}{1 + e^{-2(z/L)^2}} \right] \quad (3)$$

where

- β physical constant (see [9]);
- κ fitting parameter with theoretical value of unity;
- L characteristic decay length.

The singularity originates from the derivative of this quantity with respect to $F_S = 0$ in the gate capacitance computation. To eliminate the flatband singularity, the $F_S^{2/3}$ term in (3) is replaced with

$$(F_S^{2/3} \rightarrow) \frac{F_S^2}{ae^{-(F_S/\sigma)^2} + F_S^{4/3}} \quad (4)$$

where both a and σ are adjustable parameters. This expression preserves the asymptotic dependence of the bandgap correction on $F_S^{2/3}$ when $F_S \rightarrow \pm\infty$ while eliminating the flatband singularity.

Fig. 3 shows the comparison between experimentally measured and simulated C - V curves for 1.5- and 2.1-nm oxide n-MOSFET's with an area of 100 $\mu\text{m} \times 100 \mu\text{m}$. For this analysis, the substrate doping profile is obtained using a process simulation that includes TED (transient enhanced diffusion) effects [11]. The C - V curve obtained by utilizing the QM-corrected behavior, based on device simulation, shows good agreement with experimental data for $t_{ox} = 2.1$ nm, as in Fig. 3(a). The reduction of gate capacitance in the accumulation region is related to the QM effect; the reduction in the inversion region is related to both the QM and poly depletion effects. However, as shown in Fig. 3(b), the simulation model cannot predict the characteristics of $t_{ox} = 1.5$ nm; discrepancies in the deep inversion and accumulation regions can be attributed to neglecting gate tunneling current effects. To model the anomalous C - V behavior for gate oxides thinner than 2.0 nm, tunneling current effects should be incorporated along with the QM capacitance model.

In this work, the tunneling current is calculated using a one-dimensional (1-D) Green's function simulator, NEMO [12], which is a Schrödinger equation solver. NEMO considers the injection from both quasibound states and continuum states;

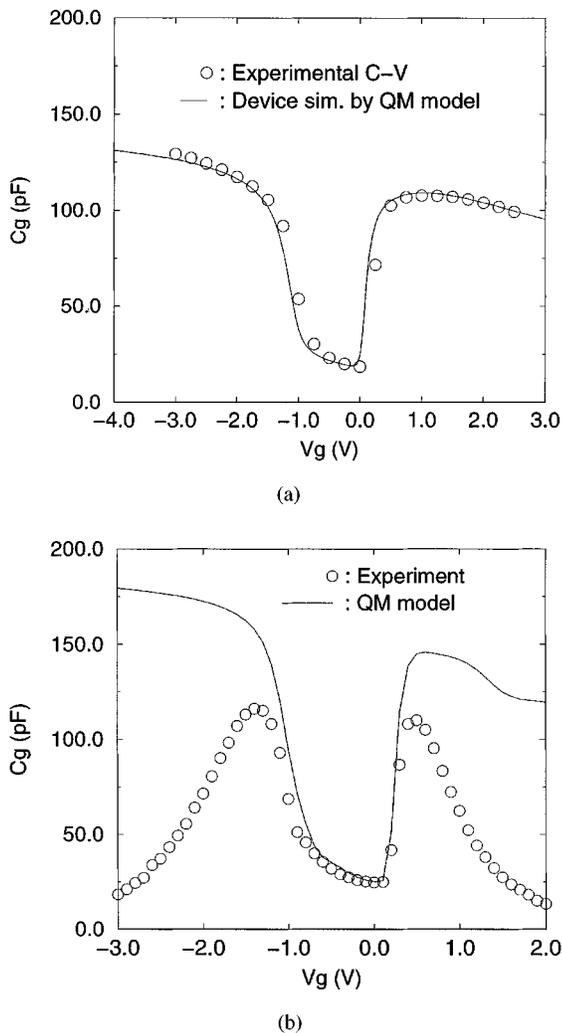


Fig. 3. Simulated and experimental $C-V$ curves for n-MOSFET with $t_{ox} = 1.5$ and 2.1 nm, circles denote measurements and lines indicate QM device simulation results. (a) $t_{ox} = 2.1$ nm. (b) $t_{ox} = 1.5$ nm. Note that QM capacitance modeling without considering gate current cannot predict the distorted $C-V$ curve for $t_{ox} < 2.0$ nm.

the carrier density is calculated quantum mechanically in the device. NEMO also considers multiple-scattering effects which are important for very thin SiO_2 layers [13]. Fig. 4 shows measured and simulated gate tunneling currents for three different gate oxide thicknesses—1.3, 1.5, and 1.8 nm. The substrate doping profile obtained from a calibrated process simulation was used; oxide thickness was the variable parameter (1.3 to 1.8 nm). However, these oxide thickness values were about 0.5 \AA , greater than that of the measured values due to effects of vanishing electron wavefunctions at the oxide interface and the displacement of the electron peak toward the bulk which is common in quantum simulations [14]. The discrepancies between the measured and simulated current–voltages ($I-V$'s) are probably caused by surface roughness and uncertainty in determining the effective oxide thickness.

C. Equivalent Circuit Modeling

In order to model the decrease of gate capacitance in ultra-thin gate MOS transistors, an equivalent RC network is used.

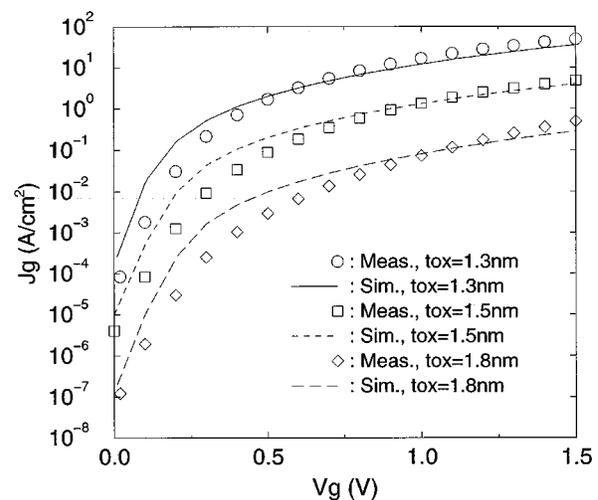
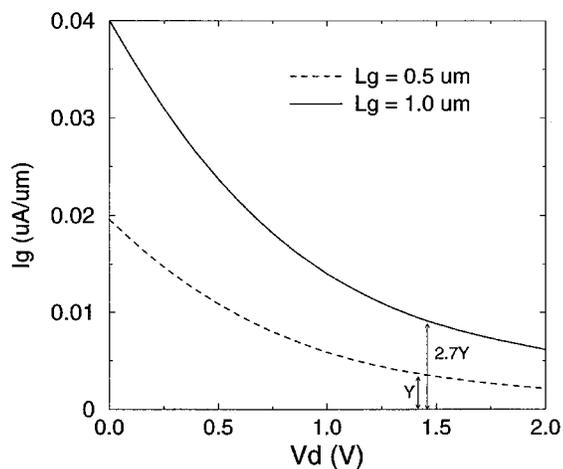


Fig. 4. Measured gate tunneling currents ($I-V$) compared to simulations using 1-D Green's function solver for $t_{ox} = 1.3, 1.5,$ and 1.8 nm, respectively.

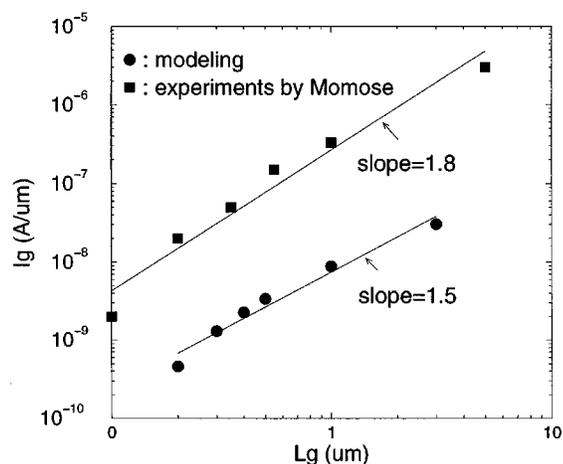
To model gate tunneling currents considering parasitic resistance, the silicon surface region is divided into small rectangular segments perpendicular to the channel-current flow in order to consider the series resistance (R_s), as shown in Fig. 5(a). The poly-Si area is vertically divided into small segments to reflect the polysilicon resistance effects (R_g). Typical sheet resistance of the polysilicon (R_g) is 4 to $5 \text{ } \Omega/\square$, which is much smaller than the series resistance ($R_s \sim 0.1\text{--}1 \text{ K}\Omega/\square$). Fig. 5(b) shows the equivalent circuit for the single vertical segment represented in Fig. 5(a). The equivalent circuit for the whole device structure is constructed by incorporating all the vertical segments. The tunneling current is modeled using a nonlinear, voltage-controlled current source ($i_g(V_g)$) derived from the gate current simulation discussed previously. The magnitude of current in each segment is then scaled according to the number of RC stages used. Also, the gate capacitance without the gate tunneling effects (or capacitance attenuation) is modeled using a nonlinear, voltage-controlled capacitance ($C_i(V_g)$). SPICE ac small-signal analysis is then performed to find the input admittance ($Y_{in} = G + j\omega C$) of the circuit at the given frequency (i.e., 100 kHz). The $C-V$ data including gate tunneling effects is finally obtained by taking the imaginary component of Y_{in} (i.e., $C = \text{Im}(Y_{in})/\omega$).

Fig. 6 shows modeling results compared to the measurements for oxide thicknesses of 1.3, 1.5, and 1.8 nm, when the number of RC segments is 20. The sharp transition point in capacitance becomes lower as gate oxide thickness is reduced, which results from the increase in gate tunneling current with an exponential-like dependence between tunneling current and oxide thickness.

Contrary to the general concerns, Momese *et al.* [15] have demonstrated that the direct tunneling gate current does not adversely affect the MOSFET drain current down to 1.5 nm gate oxide devices with gate lengths less than $1 \text{ } \mu\text{m}$. The behavior of gate tunneling current strongly depends on the drain bias effects on MOSFET operation, especially in short channel MOSFETs, because the drain field easily penetrates into the channel region close to the source areas in the short-channel devices



(a)



(b)

Fig. 8. Drain bias and gate channel length dependence of gate tunneling current for $t_{ox} = 1.5$ nm. (a) I_g versus V_{ds} for $L_g = 0.5$ and 1.0 μm when $V_{gs} = 1.5$ V, note that I_g of $L_g = 1.0$ μm is more than twice than that of $L_g = 0.5$ μm . (b) Experimental [15] and modeled I_g with respect to L_g 's at the saturation region ($V_{gs} = V_{ds} = 1.5$ V).

very-thin N/O MOSFETs still show capacitance attenuation, a reconstruction technique of gate capacitance from the distorted C-V is necessary to predict device performance.

A. Previous Reconstruction Method

The C-V reconstruction technique in the previously reported literature [2] is efficient and useful for small-area MOS devices with low leakage current, where the C-V curve is reconstructed from a derived equation, consisting of measured capacitance and conductance values obtained at two different ac frequencies (e.g., $f_1 = 50$ kHz, $f_2 = 100$ kHz or $f_1 = 100$ kHz, $f_2 = 1$ MHz). However, since the technique treats a large-area MOS device as a single RC circuit [see Fig. 2(b)], it has potential problems for large area devices with higher leakage currents. In practice, the gate current and capacitance in long-channel devices is not uniform along the channel direction due to the distributed gate and series resistance effects; a single-lumped RC model is not an adequate circuit representation for large MOS devices.

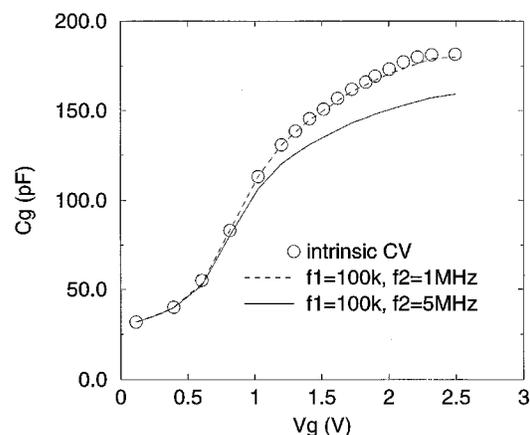


Fig. 9. Reconstructed C-V curves after the method in [2], circles represent the intrinsic C-V, and dotted and solid lines represent reconstructed C-V's for the applied frequencies of 100 kHz-1 MHz and 100 kHz-5 MHz, respectively.

Also, choosing qualitatively dissimilar (measured) C-V curves may cause substantial error in the reconstruction process.

Fig. 9 shows simulated C-V curves for 100 $\mu\text{m} \times 100$ μm p-MOSFETs after applying this method for reconstruction. Fairly large discrepancies are observed when reconstructing the C-V curve using ($f_1 = 100$ kHz, $f_2 = 5$ MHz). These C-V curves are qualitatively dissimilar because the frequency of 5 MHz is above the cutoff frequency (f_T) of the device, estimated to be [20]:

$$f_T = \frac{\mu_n(V_G - V_T)}{2\pi L_g^2} \simeq 1.5 \text{ MHz} \quad (5)$$

where $L_g = 100$ μm , $V_G = 2$ V, $\mu_n = 600$ $\text{cm}^2/\text{V}\cdot\text{s}$, and $V_T = 0.4$ V.

Thus, the single-lump RC small-signal model as in Fig. 2(b) is no longer accurate for the case of 5 MHz; the series resistance becomes significant due to the low impedance of capacitance at higher frequencies. On the other hand, a low frequency C-V measurement is not suitable for high leakage dielectric MOS capacitors since it causes considerable measurement error. The approximated instrumentation error for LCR meters is given as [6]

$$\text{error}(\%) = 0.1 \sqrt{1 + \left(\frac{G_m}{2\pi f C_m} \right)^2} \quad (6)$$

where C_m and G_m are measured capacitance and conductance, respectively. For example, the instrumentation errors calculated using (6) are 12.7% and 1.2% for the applied frequency of 100 kHz and 1 MHz, respectively; the measured G_m and C_m are 1.0 mS and 13.4 pF for a 1.5-nm oxide thickness (n-MOSFET) at $V_g = 2.0$ V. Hence, high frequency C-V measurements and the incorporation of a distributed RC model are desirable in modeling of large-area, high-leakage dielectric MOSFETs.

B. Optimization Technique

For capacitance reconstruction, the distributed RC network for high leakage dielectric MOSFETs [Fig. 5(b)] is used. The intrinsic gate capacitance, which is to be extracted, is modeled with a voltage-controlled capacitance ($C_i(V_g)$). The conductance is modeled using a voltage-controlled current source ($i_g(V_g)$), which has been determined based on measured gate current data.

Capacitance reconstruction consists of extraction of $C_i(V_g)$ from the distributed RC network such that the imaginary part and real part (C and G) of the simulated input admittance (Y_{in}) can be matched with the measured capacitance and measured conductance (C_m and G_m), shown in Fig. 5(b) and (c).

For the R_s extraction, sheet resistance (R_{sh}) of the device is calculated using conventional drift-diffusion theory of carrier transport [21]:

$$R_{sh}(x) = \frac{d\phi_n(x)}{I_{ds}} \quad (7)$$

where x is the direction parallel to the Si surface and ϕ_n is the electron quasi-Fermi level obtained from 2-D device simulation. I_{ds} is independent of x due to current continuity; the sheet resistivity is simply proportional to the lateral gradient of $\phi_n(x)$. This expression is valid as long as the device is sufficiently wide and the current flow is dominantly parallel to the x -direction.

Using device simulation and (7), R_s was determined to be in the range between 60 and 70 Ω for a 100 $\mu\text{m} \times 100 \mu\text{m}$ P-MOSFET structure with a peak dopant concentration of $1.3 \times 10^{18} \text{ cm}^{-3}$. R_g used in the extraction process was fixed at 5 Ω/\square , which is a typical sheet resistance of the polysilicon gate.

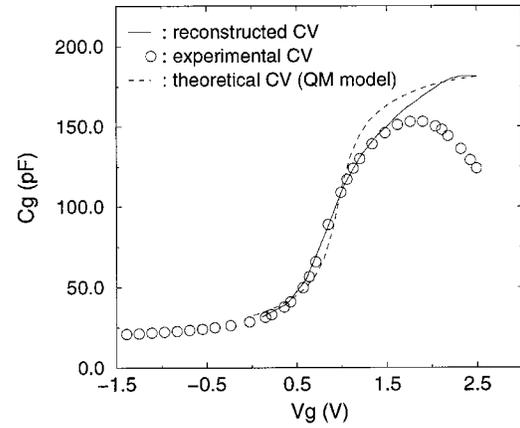
Given this set of parameters, the next step is to perform circuit optimization for the distributed RC network in order to extract the intrinsic capacitance ($C_i(V_g)$). A Levenberg–Marquadt algorithm implemented in HSPICE [22] is used which numerically combines the steepest-descent method with a Gauss–Newton approach to give the greatest stability for points far from the minimum while achieving rapid convergence for points near the minimum [23]. The quantity to be minimized is the norm of the error vector, $\mathbf{f}(\mathbf{p})$, given by

$$\|\mathbf{f}(\mathbf{p})\|^2 = \sum_k f_k(\mathbf{p})^2 = \sum_k \left[\frac{x_k(\mathbf{p}) - x_k^*}{\max(x_k^*, x_{\min})} \right]^2 \quad (8)$$

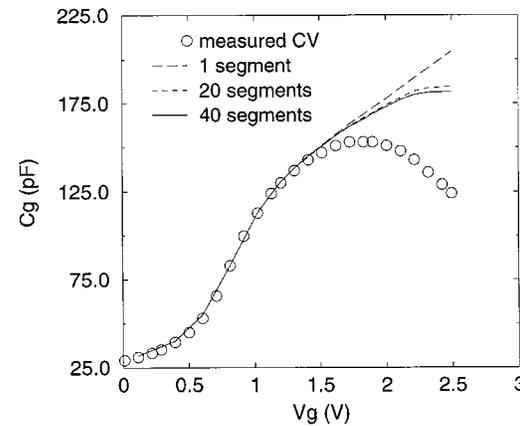
where \mathbf{p} represents the vector of optimized parameters and the vector $\mathbf{f}(\mathbf{p})$ consists of the errors evaluated at each gate bias point. x_k and x_k^* are the calculated capacitance/conductance and measured capacitance/conductance, respectively, at the k th data point. For each iteration of the algorithm, the model is evaluated at each data point and the error vector $\mathbf{f}(\mathbf{p})$ is calculated; the parameter vector \mathbf{p} is then adjusted to reduce $\|\mathbf{f}(\mathbf{p})\|^2$, the sum of the squares of the errors. For the $C_i(V_g)$ extraction, HSPICE ac small-signal analysis is performed, coupled with optimization to find the input admittance ($Y_{in} = G + j\omega C$) for the circuit.

C. Application Results

The N/O composite dielectric P-MOSFETs (N/O $\sim 1.4 \text{ nm}/0.7 \text{ nm}$) with p^+ -poly gates implanted by BF_2 (30 keV, $5 \times 10^{15} \text{ cm}^{-2}$) were fabricated on $1.3 \times 10^{18} \text{ cm}^{-3}$ n-type Si $\langle 100 \rangle$ substrates. The bottom oxide layer was formed using N_2O remote-plasma oxide and the RPECVD nitride was then deposited using SiH_4 and N_2 as source gases to form the N/O structures. The nitride thickness is determined from the deposition rate and the oxide thickness was extracted using Auger electron spectroscopy [19]. C - V measurements for the



(a)



(b)

Fig. 10. C - V reconstruction results using the proposed technique. (a) measured (circles) and reconstructed (solid line) C - V curves by using the distributed RC network and optimization technique, dashed line indicates theoretical C - V with QM device simulation. (b) comparison of extracted C - V curves with respect to the number of segments in the RC ladder network, circles denote the measured anomalous C - V and lines show the reconstructed intrinsic capacitance for the different number of segments—1, 20 and 40 segments.

nitride/oxide P-MOSFET (N/O $\sim 1.4 \text{ nm}/0.7 \text{ nm}$) were performed using the RC parallel mode, as shown in Fig. 2(a). The device area is 100 $\mu\text{m} \times 100 \mu\text{m}$ and the applied small-signal frequency is 1 MHz. Measured C - V data are represented by circles in Fig. 10(a) and show a sharp decrease of the capacitance in the accumulation region for gate biases greater than 1.7 V. The solid line in the figure represents the reconstructed gate capacitance from the method described above. The reconstructed gate capacitance of the N/O composite MOS device in the deep accumulation region is 180.4 pF, which corresponds to the gate capacitance of an equivalent oxide thickness of 1.9 nm ($t_{ox,eq} = 1.9 \text{ nm}$), assuming a classical charge model. Also, the capacitance corresponds to a gate capacitance with an equivalent oxide thickness of 1.4 nm ($t_{ox,eq-qm} = 1.4 \text{ nm}$), assuming the QM model.

Regarding validation of the reconstructed C - V curve, direct comparison with the experimentally reconstructed curves is the most desirable. For such a comparison, however, test structures

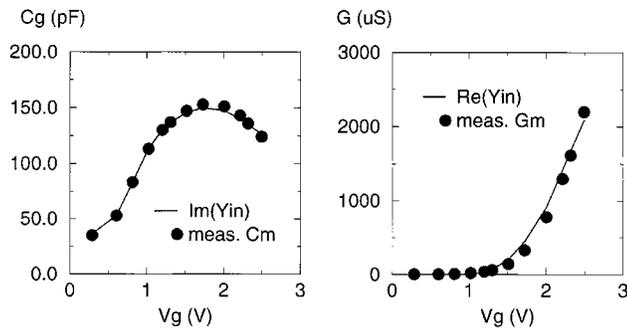


Fig. 11. Optimization results, measured C_m/G_m compared to final C/G ($\text{Im}(Y_{\text{in}})/\text{Re}(Y_{\text{in}})$) after the $C-V$ reconstruction.

with channel lengths of 1–10 μm are necessary to avoid capacitance attenuation, as reported in [4]. Instead, theoretical $C-V$ calculations are used, where the QM model has been considered for N/O ($\sim 1.4 \text{ nm}/0.7 \text{ nm}$) dielectric P-MOSFET. The objective of the theoretical calculation is to find a reasonable capacitance range for the layer thickness, not to produce a perfect fit. As a result, the reconstructed gate capacitance shows good correspondence to the theoretical one in deep accumulation, as shown in Fig. 10(a); discrepancies between the theoretical and reconstructed curves may be attributed to the uncertainties in the series resistance value and not having modeled interface state properties specifically [5].

Fig. 10(b) shows the reconstructed $C-V$ curves with respect to the number of segments in the RC ladder network. The shape of the reconstructed $C-V$ for a single segment case is unrealistic, while the reconstructed capacitance values in deep accumulation are almost identical for 20 and 40-segment cases compared to the theoretical calculations.

Fig. 11 shows comparison between the measured C_m/G_m and the simulated C/G ($= \text{Im}(Y_{\text{in}})/\text{Re}(Y_{\text{in}})$) when the final intrinsic capacitances have been extracted, the optimization error between the measured and the simulated capacitance/conductance is 2%.

IV. CONCLUSIONS

A reconstruction technique for $C-V$ curves, based on distorted $C-V$ curves in high leakage dielectric MOSFETs has been developed. Anomalous $C-V$ behavior has been modeled using a distributed RC network to account for the QM effects as well as the distributed RC nature of MOSFETs; the intrinsic, pure gate capacitance is conversely extracted from the anomalous measured $C-V$ curves. The reconstructed $C-V$ data is comparable to the theoretical QM calculations for very-thin nitride/oxide gate dielectric ($t_{\text{ox}}, \epsilon_q - qm \sim 1.4 \text{ nm}$) MOSFETs.

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